



Social & Environmental Sensitivity Questionnaire

Please return this form to **John Shellenberger, Jr. CFP®**, Estate Conservation Associates
Phone: 406-585-5384 Fax: 406-585-7007 Mail: P.O. Box 4758, Bozeman, MT 59772

Answering the following questions as completely and accurately as possible will allow us to make the appropriate recommendations for you and be better prepared for discussing your investment situation and available options. If you have any questions about this questionnaire, please contact our office.

Personal Information:

Name(s) _____ Date _____

Street Address _____

City, State, Zip _____

Phone Number(s) _____

S1. Do you have any selection criteria for the companies in which you might invest other than their ability to make money for you? _____

S2. Do you have any objection to investing in companies that manufacture the following products or services?

- Tobacco
- Beer or wine
- Other alcoholic beverages
- Contraceptive products or equipment
- Medical products of any kind
- Weaponry or weapons systems of any kind
- Nuclear power or equipment to generate nuclear power
- Other _____

S3. Do you have any objection to investing in companies that have been accused or found guilty of any of the following practices?

- Abusive labor practices
- Employment discrimination based on race, religion, gender or sexual orientation
- Monopoly practices
- Massive layoffs
- Toxic spills and/or environmental degradation
- Engaging in illegal business of any kind
- Engaging in business with undemocratic or dictatorial foreign regimes
- Other _____

S4. Are you willing to accept a lower level of investment performance—if necessary—as a cost of exercising your ethical concerns?

- Yes, Absolutely Somewhat No

S5. Please identify the issues that are important to you with respect to the companies in which you might invest

Avoidance Goals

- Manufacture of tobacco products
- Manufacture of alcoholic beverages
- Operation of gambling casinos
- Child labor
- Occupational health and safety
- Employee relations
- Equal employment opportunity
- Human rights
- Abortion: Pro-choice Anti-abortion
- Animal rights/laboratory testing
- Manufacture of nuclear energy
- Manufacture of military weaponry
- Consumer protection and product safety
- Business activities in support of repressive regimes
- Other _____

Affirmative Goals

- Take notably positive steps in addressing environmental challenges
- Proper use of natural resources
- Environmentally sustainable products and services:
 - Organic food products
 - Alternative energy (solar, wind, geo-thermal, etc.)
 - Substitute wood products
 - Recycling and/or products
 - Environmental monitoring devices and filtration systems
 - Biodegradable or otherwise environmentally sustainable clothing and other products
 - Other _____
- Actively promote women and minorities
- Participation by employees in the workplace
- Non-discrimination in the workplace
- Opportunities for women
- Employee benefit policies that support working parents
- Progressive policies toward lesbian and gay communities
- Positive labor relations with employees
- Supportive work environment for all employees
- Community involvement
- Financial support for community objectives
- Affirmation of human rights
- Other _____

S6. In what class of company do you want to be invested?

- Companies that are proactive environmentally.
 - Companies with environmentally neutral products but which contribute strongly to environmental sustainability.
 - Companies with environmentally neutral products (e.g., cereal manufacturers, pharmaceutical companies).
 - Companies with products or processes that mildly degrade the environment (e.g., computer chip manufacturer, large chain stores).
 - Companies with products or processes that significantly harm the environment (e.g., auto manufacturers, nuclear power generators)..
 - Companies that degrade or despoil the land (e.g., developers, earth movers, resorts)
5. For the types of investments you have made, what has been the satisfaction level of your experience?

S7. What other criteria do you have for the companies in which you are willing to invest? _____
